## SUPREME COURT OF THE UNITED STATES

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|             |               |            | -             |
| EVANGELISTO | RAMOS,        |            | )             |
|             | Petitioner    | · ,        | )             |
|             | V.            |            | ) No. 18-5924 |
| LOUISIANA,  |               |            | )             |
|             | Respondent    | •          | )             |
|             |               |            |               |

Pages: 1 through 69

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| 1 | IN THE SUPREME COURT OF THE    | UNITED STATES       |
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| 3 | EVANGELISTO RAMOS,             | )                   |
| 4 | Petitioner,                    | )                   |
| 5 | V.                             | ) No. 18-5924       |
| 6 | LOUISIANA,                     | )                   |
| 7 | Respondent.                    | )                   |
| 8 |                                |                     |
| 9 |                                |                     |
| 0 | Washington, D.C                |                     |
| 1 | Monday, October 7,             | 2019                |
| 2 |                                |                     |
| 3 | The above-entitled matt        | er came on for      |
| 4 | oral argument before the Supre | me Court of the     |
| 5 | United States at 1:00 p.m.     |                     |
| 5 |                                |                     |
| 7 | APPEARANCES:                   |                     |
| 3 | JEFFERY L. FISHER, Stanford, C | alifornia;          |
| 9 | on behalf of the Petitione     | r.                  |
| С | ELIZABETH MURRILL, Solicitor G | eneral, Baton Rouge |
| L | Louisiana; on behalf of th     | e Respondent.       |
| 2 |                                |                     |
| 3 |                                |                     |
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| 1  | PROCEEDINGS                                      |
|----|--|
| 2  | (1:00 p.m.)                                      |
| 3  | CHIEF JUSTICE ROBERTS: We'll hear                |
| 4  | argument next in Case 18-5924, Ramos versus      |
| 5  | Louisiana.                                       |
| 6  | Mr. Fisher.                                      |
| 7  | ORAL ARGUMENT OF JEFFREY L. FISHER               |
| 8  | ON BEHALF OF THE PETITIONER                      |
| 9  | MR. FISHER: Mr. Chief Justice, and               |
| 10 | may it please the Court:                         |
| 11 | Last term in Timbs against Indiana,              |
| 12 | this Court reaffirmed the well-settled rule that |
| 13 | incorporated provisions of the Bill of Rights    |
| 14 | apply the same way to the states as they apply   |
| 15 | to the federal government.                       |
| 16 | Taking that rule as the given, the               |
| 17 | state does not defend Justice Powell's pivotal   |
| 18 | vote in the Apodaca case. And, indeed, that      |
| 19 | reasoning flouted precedent at the time and has  |
| 20 | since been relegated to nothing more than an     |
| 21 | isolated relic of an abandoned doctrine.         |
| 22 | The state's only defense in in                   |
| 23 | support of the judgment below is that the Sixth  |
| 24 | Amendment does not require unanimity at all;     |
| 25 | that is not in state courts or in fodoral        |

- 1 courts.
- 2 This Court should reject that
- 3 argument. As the Court has said many times over
- 4 many decades, the Sixth Amendment requires a
- 5 unanimous verdict to convict. In particular,
- 6 what the Court has said is that the Sixth
- 7 Amendment right to trial by jury carries with it
- 8 the essentials of the common law.
- 9 And the common law authorities are
- 10 uniform, explicit, and absolute. Unanimity is
- an absolute requirement to trial by jury. And
- the reasons that the common law commentators
- 13 gave for that rule are the -- are -- resonate
- just as powerfully now as they did then. In a
- nutshell, we are not prepared to take away
- someone's liberty unless a cross-section of the
- 17 community uniformly agrees that criminal
- 18 punishment is appropriate.
- Now, I don't think the state disputes
- 20 that historical account that I just gave you or
- 21 even that unanimity is central to the proper
- 22 functioning of the jury trial right. Instead,
- 23 what the state says are two primary things:
- 24 First, that the drafting history of
- 25 the Sixth Amendment suggests that the framers

- 1 meant to dispense with that historical rule,
- and, second, that that historical requirement of
- 3 unanimity is no more important than the
- 4 12-person rule, which this Court said is not
- 5 part of the Sixth Amendment, in Williams.
- 6 So let me turn to those two arguments.
- 7 Let me start with the drafting history. And we
- 8 think for three reasons the state has over-read
- 9 the drafting history.
- 10 First, as the Court itself said in
- 11 cases dealing with provisions like the Second
- 12 Amendment and the Double Jeopardy Clause, we do
- not read into a deletion of language any meaning
- when there's no contemporary evidence that it
- was designed to change the meaning of the
- 16 provision.
- 17 And that's all the more true here
- 18 because of the contextual backdrop. The state
- 19 talks about the fact that many states at the
- time had trial by jury provisions in their own
- 21 constitutions and correctly notes that some of
- those provisions explicitly required unanimity
- 23 but some of them didn't.
- 24 And the rule was the same across all
- of those states, so the thing that the framers

- 1 would have taken from the context at the time
- 2 would have been that it doesn't matter whether
- 3 you have unanimity in the provision; it requires
- 4 it either way.
- 5 CHIEF JUSTICE ROBERTS: Well, but
- 6 still that --
- 7 MR. FISHER: And I think --
- 8 CHIEF JUSTICE ROBERTS: I mean, to
- 9 give them -- to be fair, even if you see some
- 10 have unanimity, some don't, and you've got a
- draft that says unanimity, I don't understand
- 12 why you would take it out and just then be able
- 13 to argue later, well, it doesn't matter whether
- 14 it was in or not. It's in there in the draft;
- 15 why would they take it out?
- MR. FISHER: Well, the best historical
- 17 evidence, Mr. Chief Justice, is that it was --
- 18 it got latched onto a debate about the vicinage
- 19 requirement. And so what James Madison did is
- 20 take away all of the elaboration of the -- of
- 21 the right to trial by jury.
- 22 And so I think actually the best
- 23 example also to respond is -- is -- is the
- 24 Pennsylvania Constitution, which at the time of
- 25 the founding required unanimity explicitly. And

- 1 then Justice Wilson actually amended the --
- 2 rewrote the constitution in -- in Pennsylvania
- 3 to take it out. And, remember, Justice Wilson,
- 4 as we note at length in our brief, was one of
- 5 the leading expositors of the common law notion
- of trial by jury and the Sixth Amendment
- 7 requiring unanimity.
- 8 And I think that was the last thing I
- 9 wanted to say about the drafting history, is
- 10 that one would think that if the framers had
- dispensed with 400 years of uniform practice,
- that somebody would have said something about
- 13 it. But what you have is the reverse. You have
- 14 Justice Wilson, right after the Constitution's
- founding, talking at great length about how
- unanimity is "indispensable."
- 17 You have Justice Story in his
- 18 Commentaries using exactly the same word,
- 19 "indispensable." And you have any number of
- 20 other criminal law treatises at the time, all of
- 21 which are gathered in our brief and at greater
- length in the ACLU brief that canvasses the
- 23 history, all reinforcing this notion.
- JUSTICE ALITO: You are asking us to
- overrule Apodaca, so we do have to think about

- 1 stare decisis. And last term, the majority was
- 2 lectured pretty sternly in a couple of dissents
- 3 about the importance of stare decisis and about
- 4 the impropriety of overruling established rules.
- 5 I'm thinking about the dissent in Franchise Tax
- 6 Board and the dissent in Knick versus Township
- 7 of Scott.
- And a very important consideration in
- 9 considering stare decisis is reliance. So it
- 10 would be helpful to me if you could compare the
- 11 reliance that's at issue here. Louisiana and
- 12 Oregon have tried thousands of cases, in
- 13 reliance on Apodaca. The Court said: This was
- 14 okay. We've never -- we've never suggested that
- it wasn't. We've denied cert in lots of cases.
- So can you compare the reliance here
- 17 with the reliance in Franchise Tax Board and in
- 18 Knick?
- 19 MR. FISHER: Well, I think Justice
- 20 Alito, I'd like to make both a legal comparison
- 21 and a factual comparison.
- 22 So starting with the law, I think it's
- 23 important to note that the state here is
- 24 claiming to rely on Apodaca, but they are not
- 25 defending the rule of Apodaca, which is that the

- 1 Fourteenth Amendment doesn't require states to
- 2 have unanimous verdicts. Instead, they're
- 3 asking the Court to adopt a new rule of Sixth
- 4 Amendment law that the Court has never adopted.
- 5 And I know the Court last term, as you
- 6 -- as you note, in part of those disagreements,
- 7 some justices were saying, well, it's okay to
- 8 come up and rehabilitate an old rule; that
- 9 shouldn't forgo stare decisis value.
- 10 JUSTICE ALITO: Well, but that's --
- 11 MR. FISHER: But here the state is
- 12 asking for a brand-new rule.
- JUSTICE ALITO: I -- I don't want to
- 14 interrupt. That's a fair point, but we're not
- tied in deciding this case to the position
- 16 that's taken by the state. We have a decision
- of this Court, Apodaca, and we could -- we could
- 18 affirm it on -- on a different ground from the
- one that the -- the exact one the state has --
- 20 has advanced.
- But I want you to complete what you
- 22 were saying.
- MR. FISHER: Yeah, so let me give you
- 24 three reasons why, even if you take that as a --
- as a given, stare decisis shouldn't carry the

- 1 day. And then I'll turn to the facts.
- 2 But still sticking with the law, three
- 3 things: One is remember Justice Powell's vote
- 4 was an isolated vote where there was no majority
- 5 for the Court, and it was -- indeed, his vote
- 6 was rejected by the other --
- 7 JUSTICE KAGAN: So could I ask you --
- 8 MR. FISHER: -- eight justices on the
- 9 Court.
- 10 JUSTICE KAGAN: This is so unfair, Mr.
- 11 Fisher, but could I ask you to take that out of
- 12 your analysis and just pretend for the remainder
- of your analysis, I -- I think that's an
- important consideration, which I'm not quite
- 15 sure how to think about, but if you assume that
- 16 this was, you know, just any old 5-4 decision.
- MR. FISHER: So I would then move to
- 18 my second point, which would be that the -- the
- 19 -- that Fourteenth Amendment rule, even if it
- 20 had been adopted by a majority, is a derelict in
- 21 the law. It is isolated -- it is really an
- 22 abandoned relic of past jurisprudence. And you
- don't have to look further than last term in
- 24 Timbs. You can look at the McDonald opinion and
- 25 you can look at any number of other --

| Т  | JUSTICE RAGAN: WEIL                              |
|----|--|
| 2  | MR. FISHER: opinions from this                   |
| 3  | Court that say the same standards have to apply  |
| 4  | to the states as the federal government.         |
| 5  | JUSTICE KAGAN: I mean, it would be an            |
| 6  | outlier. It would be something that says, look,  |
| 7  | we just we have an exception here. We we         |
| 8  | are going to treat this amendment differently.   |
| 9  | But you know we tolerate a pretty                |
| 10 | significant degree of diversity in state         |
| 11 | criminal procedure, and this could just be one   |
| 12 | of those sorts of rules, where where we say      |
| 13 | you you know, there are occasional times         |
| 14 | where we think that the state gets to decide     |
| 15 | something on its own. And so, yeah, it's         |
| 16 | anomaly. Usually, we do look in stare decisis    |
| 17 | reasoning for anomalies, but this is not the     |
| 18 | kind of anomaly that should concern us overmuch  |
| 19 | because, in general, criminal procedure law is   |
| 20 | loaded with anomalies.                           |
| 21 | MR. FISHER: Well, Justice Kagan, I               |
| 22 | think let me respond one thing I hope isn't      |
| 23 | fighting the premise, but what I would say is if |
| 24 | the if you look at the Court's incorporation     |
| 25 | jurisprudence, that is the one place the Court   |

- 1 has not accepted anomalies and where the Court
- 2 has said that stare decisis is at a very low ebb
- 3 when it comes to states following the
- 4 fundamental rules of the road of the Bill of
- 5 Rights. So I think on that level, it is a
- 6 different kind of a situation than the ordinary
- 7 stare decisis case.
- 8 JUSTICE GINSBURG: Did Timbs recognize
- 9 that exception?
- 10 MR. FISHER: Pardon me?
- 11 JUSTICE GINSBURG: Timbs, in saying
- 12 the Excessive Fines Clause applies to the
- 13 states, recognize Apodaca as an exception?
- 14 Recognized the Sixth Amendment was the one
- 15 exception to complete incorporation?
- MR. FISHER: That's right, Justice
- 17 Ginsburg. And I think my argument today is that
- 18 even though that's been an exception for several
- 19 years, it shouldn't go forward.
- It doesn't have any footing in the
- 21 law. There's no --
- JUSTICE KAGAN: What else have you
- 23 got?
- 24 MR. FISHER: -- Fourteenth Amendment
- 25 footing. So let me turn to the -- to I think

- 1 back to Justice Alito's question, because I
- 2 think you were asking about convictions.
- 3 And I think this is another area where
- 4 stare decisis actually has less to say than
- 5 normal. And that's because the Court already
- 6 has a developed set of doctrines, like the
- 7 Teague jurisprudence and the Griffith
- 8 jurisprudence that are themselves designed to
- 9 give states a reliance interest in their past
- 10 and past precedent from this Court.
- 11 So unlike the ordinary case, Franchise
- 12 Tax Board and any number of other doctrines, you
- have this whole separate set of doctrines that
- 14 the state can invoke to support its reliance
- 15 interest --
- JUSTICE ALITO: Well, we don't know --
- 17 MR. FISHER: -- in those past
- 18 convictions.
- 19 JUSTICE ALITO: -- how a decision in
- 20 your favor in this case would play out in
- 21 collateral review, either in federal court or in
- 22 state court.
- But do you think -- I mean, I -- I can
- 24 well envision seeing you up here in a term or
- 25 two arguing this is a water -- the rule that you

- 1 are trying to persuade us to accept today is a
- 2 watershed rule of criminal procedure.
- 3 Do you think that's a -- a frivolous
- 4 argument?
- 5 MR. FISHER: I don't think it's
- 6 frivolous, Justice Alito. I think the best
- 7 thing the state will have to say for itself in
- 8 that respect is that Duncan itself, when the
- 9 Court incorporated the right to jury trial,
- 10 Duncan itself was not held to be retroactive in
- 11 the DeStefano opinion, and in Schiro against
- 12 Summerlin the Court reaffirmed that precedent.
- But, Justice Alito, the core point
- 14 that I'm making to you today is, in deciding
- whether to overrule a past case, absolutely
- 16 reliance interests are at stake.
- But there are separate doctrines to
- 18 protect those reliance interests, so that I
- 19 don't think you should give them undue weight in
- 20 this situation. And I don't think the Court has
- 21 given those kinds of things undue weight in the
- 22 past. And I would direct the Court back to its
- 23 McDonald decision where it catalogued all the
- times over the years in the Court's
- 25 incorporation jurisprudence that it has

1 overruled past cases. 2 And I don't think there is any other 3 area of law in the Court's jurisprudence where 4 stare decisis over the years has held less value 5 than --6 JUSTICE KAVANAUGH: What about --7 MR. FISHER: -- incorporation. 8 JUSTICE KAVANAUGH: Sorry. 9 MR. FISHER: No, go ahead. 10 JUSTICE KAVANAUGH: What about the size of the jury, if we were to accept your 11 12 argument here, how or could we draw a 13 distinction between this case and the precedence 14 on size of a jury? 15 MR. FISHER: Well, Justice Kavanaugh, I think Williams itself tells you how you would 16 17 do that. It says that the question under the Sixth Amendment is whether the feature at issue 18 19 is an indispensable feature or, as the Court 20 also put it, an essential feature of the right 21 to jury trial as we practice it in this country. 2.2 And what the Court concluded in

Williams after looking at historical sources was

reading of those sources were the 12-person rule

they were mixed. And probably the better

2.3

24

1 was just a historical accident. 2 And so that is a holding of this Court 3 that puts it on the other side of the ledger from the uniform common law authorities when it 4 5 comes to unanimity and that holding, moreover, 6 Justice Kavanaugh, would be entitled to a stare decisis effect. 7 8 JUSTICE KAGAN: Do you think --9 JUSTICE GORSUCH: What -- what --10 JUSTICE KAGAN: -- we would have to --11 JUSTICE GORSUCH: Sorry. 12 JUSTICE GINSBURG: Mr. Fisher, 13 Williams, I think, is a problem for you. If 14 only six minds need to agree to convict of a 15 criminal offense, why shouldn't ten be enough? 16 MR. FISHER: Justice Ginsburg, the key 17 principle is not how many. It's the degree of 18 agreement. And so my -- my core proposition to 19 you today is that a 10-2 verdict is less 20 quaranteed to be accurate and less quaranteed to 21 be consonant with the purposes of jury trial than a 6-0 verdict. And I think --2.2 2.3 CHIEF JUSTICE ROBERTS: And that's --24 MR. FISHER: -- maybe it would help --25 CHIEF JUSTICE ROBERTS: You prefaced

- 1 that by saying that's a key part of the
- 2 distinction you are trying to draw?
- 3 MR. FISHER: Well, maybe it is the
- 4 very distinction.
- 5 CHIEF JUSTICE ROBERTS: Well, I know.
- 6 But, I mean, I guess I'm not sure that's
- 7 self-apparent. I mean, I don't know whether you
- 8 play it out in game theory or something, but if
- 9 you asked the defendant, what do you want? Do
- 10 you want six, and they have to agree across the
- 11 board, or do you want 12, and you have got to
- 12 convince -- that's not immediately apparent to
- me which -- which I would take.
- MR. FISHER: Well, Mr. Chief Justice,
- can I give you a legal answer and a practical
- 16 answer?
- 17 So as a legal answer, the -- the
- unanimity required even of a six-person verdict
- 19 is more consistent with -- and, in fact, is the
- 20 only consistent outcome -- with the purposes of
- 21 the jury trial clause because the core purposes
- 22 are effective deliberation towards an accurate
- decision and a cross-section of the community.
- Now, remember what happens in
- 25 Louisiana and in Oregon is that a cross-section

- of the community, somewhat by design, can be
- 2 left out of and canceled out of those
- 3 deliberations. And that's very different than a
- 4 6/0 verdict when it comes to the way things
- 5 happen in the jury room and the public
- 6 confidence in that verdict.
- 7 And I'll also give you a practical
- 8 answer to your question. When Louisiana was
- 9 considering changing its law, and, indeed, did
- 10 change its law, which I would say
- 11 parenthetically is also something that I think
- should be taken into account when it comes to
- 13 stare decisis, that Louisiana has even changed
- 14 its law, but during those deliberations there
- was a prosecutor who testified before the
- legislature and said that he used to sometimes
- 17 charge felonies instead of misdemeanors because
- 18 it was easier to get a 10-2 verdict than it was
- 19 to get a 6-0 verdict.
- JUSTICE GORSUCH: Mr. Fisher, let's
- 21 say I am not entirely persuaded by your
- functionalist arguments about the distinction
- 23 between unanimity and numbers between this case
- 24 and Williams.
- 25 Have you got anything else besides

- 1 these functionalist arguments about the real
- 2 great importance about unanimity and the
- 3 relative lack of importance about numbers?
- 4 MR. FISHER: I think what I would say
- 5 to you, Justice Gorsuch, is the text of the
- 6 Sixth Amendment understood through its purpose
- 7 distinguishes this case from Williams. And so
- 8 let me explain what I mean by that.
- 9 The text of the Sixth Amendment says
- 10 the defendant has a right to trial by jury. And
- so the key is what does that phrase mean? And
- 12 from history we know that that phrase meant that
- not just that the defendant got a jury, but that
- 14 the trial by jury included the way the jury
- 15 reached its decision.
- In fact, if we -- if we have a jury
- who hangs or can't reach a verdict, there's a
- 18 mistrial. So we don't even have trial by jury.
- 19 So that's inherent in the term.
- I think what the Court said in
- 21 Williams is that of course there are going to be
- some features of the common law. Imagine, for
- 23 example, that the justice -- that the jurors all
- 24 had to wear a particular color jacket to -- to
- 25 courtroom. There is going to be certain

- 1 incidental features of the right to jury trial
- 2 that don't necessarily have to be read along
- 3 with the Sixth Amendment.
- 4 There would be certain things that
- 5 happened to occur at common law that wouldn't
- 6 necessarily be brought forward today.
- 7 Now, I think maybe what you're --
- 8 you're driving at to some degree is I think
- 9 there is an argument and there was a powerful
- 10 argument made in Williams that 12 -- that the
- 11 12-person requirement shouldn't be thought of
- 12 that way. There were some people who thought
- the 12-person requirement was also a very
- important feature.
- But, of course, there were others who
- 16 didn't. Lord Coke, which the Court quoted, and
- many other commentators thought, well, no, 12
- 18 people is just a fanciful number. It's
- inherently arbitrary. It doesn't really mean
- 20 anything. And so all we're getting at in this
- 21 case I think are what's the core meaning of the
- 22 phrase -- phrase trial by jury.
- JUSTICE KAGAN: Do you think, Mr.
- 24 Fisher, that we would also have to overrule
- 25 Ludwig versus Massachusetts if we overruled

1 Apodaca? 2 If I understand it right, that was another case in which Justice Powell's unusual 3 approach to incorporation ended up being the 4 5 deciding vote in the case. It was about a 6 two-tiered jury system. MR. FISHER: That's right, Justice 7 8 Kagan. I think that all my position here today 9 would tell you, if you were to revisit that, is 10 that -- is that Justice Powell's vote in that 11 case, just like in this case, doesn't set up a 12 rule of law the Court should adhere to. But you 13 would still have a separate Sixth Amendment 14 question in Ludwig which the Court -- I'm sorry 15 -- which the Court divided on and you'd -- you would consider that case on its own terms. 16 17 And to be perfectly candid with you, I 18 don't even know what the common law would say 19 about the two-tiered jury system. That was not 20 something the Court considered in that case and 21 it would be a whole different set of arguments. 2.2 JUSTICE KAGAN: You --2.3 JUSTICE GORSUCH: Do you --24 JUSTICE KAGAN: You -- you started off 25 and then I told you to stop, but I thought I'd

- give you an opportunity to do it again.

  I mean, what are we to make of this
- 3 4-1-4 reasoning of Apodaca and -- and -- and
- 4 what do you think the rule should be about stare
- 5 decisis going forward? Do you need a majority?
- 6 Do you just need a controlling rule? What's --
- 7 what's the right way to think about that?
- 8 MR. FISHER: Well, I can tell you what
- 9 I think and I can tell you what the Court has
- 10 done. I think that there are times where a
- 11 single vote could be accorded stare decisis
- 12 effect, particularly if it's comfortably a
- 13 narrower ground within the Marks rule.
- 14 But then you have other cases more
- 15 like this where Marks doesn't so easily fit onto
- 16 that system. And I think that the most recent
- 17 time the Court dealt with a situation like that
- 18 was the Hughes case a couple terms ago, where
- 19 you had a 4-1-4 vote in the prior case and what
- 20 the Court said is we're going to consider this
- 21 issue fresh.
- The Court did the same thing in
- 23 Seminole Tribe. And -- and Seminole Tribe is a
- 24 good example of a case that drew deep divisions
- 25 within the Court as to what the substantive

- 1 meaning of the Eleventh Amendment was. But
- 2 Justice Souter in his dissent said I do not
- 3 begrudge the majority for considering this issue
- 4 fresh, because there was no majority of the
- 5 Court that had proper -- that had previously
- 6 spoken to it and our votes were all over the
- 7 map.
- 8 JUSTICE ALITO: Well, what about a
- 9 party that has to make decisions about how it's
- 10 going to order its affairs in the wake of a
- 11 decision that it wins but does it in a 4-1-4
- decision? What are they -- what is that party
- 13 supposed to do? Say, well, all right, we won
- 14 this case, but we really can't rely on it
- 15 because we don't know what -- because it has no
- stare decisis effect, and then what happens as
- the years go by and nothing happens, the Court
- doesn't come back to that question?
- 19 MR. FISHER: Well, Justice Alito, I
- 20 think that at least in the ordinary case, the --
- 21 the -- the party would have every -- every right
- 22 to rely on this Court's decision, subject to the
- ordinary principles of stare decisis that we're
- 24 deciding.
- 25 I think the one thing that makes this

- 1 case unusual is you would think that if the
- 2 party did rely on that prior case they'd at
- 3 least come up and defend it instead of ask the
- 4 Court for a different rule.
- 5 And I think that just tells you
- 6 something about how -- how discredited the fifth
- 7 vote in this -- in this case is, which I think
- 8 makes it almost a universe of one. I can't
- 9 think of -- I -- I've looked and I haven't found
- 10 any other case where somebody has gone to --
- 11 come up to this Court and said: I'm not even
- going to make an argument based on the provision
- of the Constitution on which the previous
- 14 decision rests.
- 15 JUSTICE ALITO: Can I come back to the
- 16 -- the math question that was alluded to
- 17 earlier? I am not myself, I must confess,
- capable of doing this math, but somebody could.
- 19 So if you hypothesize a jury pool with
- 20 a certain percentage of jurors who were inclined
- 21 to acquit, and you ask is there a greater
- 22 likelihood of acquittal with a 6-0 verdict than
- 23 a 10-2 verdict or an 11-1 verdict or if the
- 24 state decides to have a jury that's bigger than
- 25 12, a 15-1 -- a 15-person injury, 14-1; 19-1,

- 1 when we get to the point where the chance of
- 2 acquittal is -- is in favor of the non-unanimous
- 3 rule, would that be unconstitutional?
- 4 MR. FISHER: My rule is that any time
- 5 the state deviates from unanimity, it is
- 6 unconstitutional, so even if a state were to go
- 7 beyond the number of 12. And I think the reason
- 8 why is because it's a different phenomenon when
- 9 somebody disagrees in the jury room.
- 10 And I don't mean to be presumptuous,
- 11 but I've heard some justices of this Court
- 12 remark there's a difference between a 9-0
- opinion and an 8-1 opinion. When somebody puts
- 14 reasonable, good-faith views on the table and
- 15 requires an answer from the others, it sharpens
- ones thinking, it leads to better results
- 17 sometimes --
- JUSTICE ALITO: I mean, you really --
- 19 MR. FISHER: -- and at least in a jury
- 20 room, that would be case.
- 21 JUSTICE ALITO: You really want to
- 22 argue that? So if a -- if a petit jury had to
- 23 be as big as a grand jury and you were
- 24 representing a criminal defendant, you would
- 25 rather -- you would say we want -- 6-0 is better

- 1 for us than 21 to 1?
- 2 MR. FISHER: Justice Alito, perhaps
- 3 there'd be a number where that argument would
- 4 start to be difficult, and I think that -- that
- 5 what I would tell you is the history and
- 6 tradition of this country makes it highly
- 7 unlikely that we're ever going to see a system
- 8 like that.
- 9 What we have uniformly, almost,
- 10 throughout the states is a ceiling of 12. And I
- 11 think -- you talked about a math problem. And I
- think maybe it's also helpful to remind the
- 13 Court of the Court's term -- decision last term
- in Flowers, where the Court talked about the
- 15 math of preemptory challenges.
- And I think you have a similar math
- 17 problem here, which is if you have one or two
- 18 members of a minority on a jury, it could be a
- 19 racial minority, it could be a political
- 20 minority, it could be a religious minority, are
- 21 we really prepared to say that those one or two
- votes can be utterly canceled out?
- JUSTICE KAVANAUGH: Do the racial
- 24 origins of this rule have an impact on how we
- 25 think about stare decisis in this case?

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1
               MR. FISHER: I think they do, Justice
 2
      Kavanaugh. I think --
                JUSTICE KAVANAUGH: How? How do --
 3
      how should we factor those in?
 4
               MR. FISHER: I think in a couple ways.
 6
      I think, when you talk about how reasonable the
      reliance is from the state, I think it's perhaps
 7
 8
      justifiable to look at the origins of the law
 9
      that it's defending.
10
                But I also think more directly, if
     you're asking whether Justice Powell's
11
12
      Fourteenth Amendment reasoning should stand, he
13
      didn't even consider this history. I'm not sure
14
      it was put in front of the Court. And as the
15
     Court has said many other times like in
     McDonald, like in Pena-Rodriguez, when we're
16
17
      reading provisions of the Bill of Rights against
18
      the states through the Fourteenth Amendment, the
19
     history and purpose of the Fourteenth Amendment
20
      is a salient way to --
21
                JUSTICE ALITO: You really --
2.2
               MR. FISHER: -- think that.
2.3
                JUSTICE ALITO: -- want to make that
24
      argument? You made a big deal of it in your
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25

brief.

1 I thought you'd -- I thought you would 2 abandon it here today. But if -- if another state were to enact the same statute that 3 Louisiana has tomorrow and did it for all of the 4 legitimate policy reasons that have led such 5 6 entities as the American Bar Association and the American Law Institute and lots of reputable 7 8 scholars and the framers of the Constitution of 9 Puerto Rico and the people who made the rule in 10 the United Kingdom, all of which allow non-unanimous juries, if they -- if that was 11 12 enacted for that reason, that might be constitutional, but this statute is not 13 14 constitutional and the Oregon statute is not 15 constitutional because of the -- the origin that 16 you a attribute to them? MR. FISHER: No, Justice -- Justice 17 18 Alito. Let me make sure that I am clear with 19 the Court. 20 We think that purpose perhaps could 21 inform the Court's decision-making, and 2.2 particularly if you're looking at stare decisis, 2.3 it could inform whether to stick with an old 24 Fourteenth Amendment rule, but we don't think 25 it's essential to our Sixth Amendment argument.

- 1 And we think if a state had followed the old ALI
- 2 recommendation before the Sixth Amendment was
- 3 incorporated in the states, that I'd be making
- 4 all -- all the other same arguments I'm making
- 5 here today.
- 6 But I think the thing I would leave
- 7 you with, before I sit down for rebuttal, is
- 8 that it is telling, Justice Alito, I think, that
- 9 no state has ever done that. The only two
- 10 states that have ever deviated did -- did so
- 11 under circumstances where the cross-section of
- the community that the jury trial was designed
- 13 to bring into the courtroom had changed. And
- part of the design was to leave a part of that
- 15 cross-section, perhaps, out of deliberations.
- 16 JUSTICE KAGAN: You -- you mentioned a
- 17 couple of times earlier in your argument where
- 18 the Court has said that a decision is entitled
- 19 to less stare decisis effect because the parties
- 20 have come into Court and tried to kind of
- 21 improve the reasoning, so the Court has said, of
- 22 the earlier decision.
- 23 And as I understood what you were
- saying, you were saying that this even goes
- 25 beyond that.

1 MR. FISHER: Right. 2 JUSTICE KAGAN: Could -- could you 3 explain why or is it the same as that or --4 because I've never liked that argument. So is 5 this just -- is -- is -- is your argument just 6 the same thing? 7 MR. FISHER: No. I think it's a step 8 further, Justice Kagan. I think even if you 9 believe that parties ought to be entitled, 10 especially when there's many years between an 11 old decision and a new one, to -- to make --12 defend the old decision with the rhythms and the 13 precedents and the ideas that have intervened --14 so, for example, to take a case like Citizens 15 United, perhaps the government could have come in in that case and made other First Amendment 16 17 arguments in support of that statute in that 18 case. 19 I think we have here something 20 entirely different, though. The state is not 21 even making a Fourteenth Amendment argument. 2.2 They're asking the Court to adopt a rule -- and 23 let me just be clear, the rule that they're 24 asking the Court to adopt is the Sixth Amendment 25 does not require unanimous verdict. Five

- 1 justices in Apodaca squarely rejected that
- 2 argument. And the Court, itself, in 14th -- 14
- 3 other opinions have rejected that argument.
- 4 JUSTICE GINSBURG: It was unsettled --
- 5 MR. FISHER: So, Justice Kagan, I
- 6 think this is different in kind.
- 7 JUSTICE GINSBURG: It was unsettled
- 8 until Apodaca. The unanimity question was not
- 9 settled until Apodaca, right? Well, because
- 10 four -- four of the justices there thought
- 11 unanimity was not required; four thought it was.
- MR. FISHER: My --
- JUSTICE GINSBURG: So it was Apodaca,
- 14 the fifth vote being Powell's vote, that said --
- set the precedent for you to require a unanimity
- 16 in federal trials.
- 17 MR. FISHER: Let me say something
- 18 about before Apodaca and then after, Justice
- 19 Ginsburg. Before Apodaca, the Court had
- squarely held in Andres in the 1940s that the
- 21 Sixth Amendment requires a unanimous verdict.
- 22 And it had said it many other times, but I think
- in that case, it was integral to the holding.
- 24 And so what I understood the four-justice
- 25 plurality to be saying in Apodaca was doing what

- 1 Justice White had said in a footnote in Duncan
- 2 it could do, which is reconsider the old
- 3 precedents.
- But even if I didn't have that, I
- 5 would have the five votes in Apodaca, Justice
- 6 Ginsburg, and the statements in cases like
- 7 Richard and Descamps later, where the Court has
- 8 cited Justice Powell's opinion as the law and
- 9 said that it settles the Sixth Amendment
- 10 question.
- 11 CHIEF JUSTICE ROBERTS: Thank you,
- 12 counsel.
- 13 Ms. Murrill.
- 14 ORAL ARGUMENT OF ELIZABETH MURRILL
- ON BEHALF OF THE RESPONDENT
- MS. MURRILL: Mr. Chief Justice, and
- may it please the Court:
- We agree with Petitioner that this
- 19 case presents two issues: whether the Sixth
- 20 Amendment requires unanimity and, if so, whether
- 21 that requirement applies to the states.
- The Court should decide this case on
- 23 the first issue because nothing in the text,
- 24 structure, or history of the Sixth Amendment
- 25 requires unanimous jury verdicts.

| 1  | Nor has this Court ever held that the            |
|----|--|
| 2  | framers wholesale adopted the common law. In     |
| 3  | fact, the Court has expressly rejected that view |
| 4  | in Hurtado with regard to the Bill of Rights and |
| 5  | in Williams. Those correct holdings, plus        |
| 6  | historical evidence that the framers expressly   |
| 7  | rejected unanimity and the Sixth Amendment, are  |
| 8  | fatal to Petitioner's request to add back words  |
| 9  | that the Senate rejected in 1789.                |
| 10 | The reliance interests here are                  |
| 11 | overwhelming. Because the Sixth Amendment is     |
| 12 | not a code of criminal procedure, over two       |
| 13 | centuries of states two for two centuries,       |
| 14 | states have adapted their criminal justice       |
| 15 | systems to their particular circumstances, and   |
| 16 | Louisiana for the last 50 years has specifically |
| 17 | relied on this Court's express approval of the   |
| 18 | system that's challenged here today again.       |
| 19 | We have 32,000 people that are                   |
| 20 | currently serving time for serious crimes. And   |
| 21 | each of these convictions would be subject to    |
| 22 | challenge if Apodaca is reversed. Overruling     |
| 23 | Apodaca strikes would strike at the              |
| 24 | foundation of widespread state practices that    |
| 25 | include indictment by information and juries of  |

- 1 fewer than 12.
- 2 The beauty of our system, is that
- 3 people can change the rules. So if they now
- 4 want to require unanimity, they can do so. They
- 5 can amend their state laws, as Louisiana
- 6 recently did, or they can amend the federal
- 7 Constitution.
- 8 The judgment in Apodaca should be
- 9 affirmed. And I'm happy to take questions.
- 10 JUSTICE GINSBURG: Are you asking the
- 11 Court to take up a question that five justices
- 12 answered in Apodaca? That is, that the Sixth --
- 13 Apodaca, five -- there were five votes to say
- that the Sixth Amendment requires jury unanimity
- in federal trials.
- 16 You are asking to -- us to reject a
- 17 rule that five justices adhered to.
- MS. MURRILL: Justice Ginsburg, we
- 19 don't think that Justice Powell's decision was
- 20 entirely clear with regard to the rule as it
- 21 would apply historically. We think the text is
- 22 very, very clear that unanimity was -- is -- is
- 23 not there and that it was rejected.
- 24 So --
- 25 JUSTICE GINSBURG: But --

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1
               MS. MURRILL: -- we're happy --
 2
                JUSTICE GINSBURG: -- there were --
 3
      there were four justices who said unanimity was
      required. And then there was Justice Powell,
 4
      who said unanimity is required in federal
 5
 6
      trials. You are asking us to overturn that
     position, that unanimity is required in federal
 7
 8
      trials?
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               MS. MURRILL: Justice Ginsburg, we
10
      don't believe that that was central to his
      holding or to his position in his plurality
11
12
      opinion. And -- and our position would be that
13
      one justice's opinion that is not central to his
14
      -- his plurality opinion plus four dissenters
15
     does not -- is not equal to a holding.
                JUSTICE GORSUCH: Then aren't we --
16
17
      aren't we in -- having to address this fresh,
18
      just as you really seem to want us to do? I
19
     mean, that -- that -- that seems to me an
20
      admission that we are in a proper place to -- to
21
      take this up afresh.
2.2
                If precedent weighs for anything, what
2.3
     do we do with Andres? What do we do with those
24
      14 cases throughout Supreme Court history that
25
      seem to treat unanimity as part of the Sixth
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| 1  | Amendment?                                       |
|----|--|
| 2  | And what do we do as well with Hughes            |
| 3  | and with Seminole Tribe and a lot of other cases |
| 4  | where we have been facing similarly splintered   |
| 5  | decisions and the Court has come back and        |
| 6  | addressed the question fresh without considering |
| 7  | stare decisis in those cases?                    |
| 8  | Sometimes the the Court can't reach              |
| 9  | majority opinion. Sometimes it's just unable     |
| 10 | to. And why doesn't a state take that risk when  |
| 11 | it relies on a decision that is so splintered?   |
| 12 | MS. MURRILL: Justice Gorsuch, I think            |
|    |  |

juries were constitutional. They also did that
on the tail end of a decision by this Court in
Williams that found that a six-man jury was also

of this Court that it -- that non-unanimous

that Louisiana reasonably relied on a decision

JUSTICE GORSUCH: Well, we're not
dealing with a --

constitutional.

13

14

- MS. MURRILL: And -- and I don't -
  JUSTICE GORSUCH: -- a six-person

  jury, so we can put that aside. We're -- we're
- 24 dealing with unanimity. And I -- I don't think
- you're arguing that the Court did anything

- 1 improper in Hughes or did anything improper in
- 2 Seminole Tribe by taking up the question afresh.
- And I'm just curious why it would be
- 4 different here and why the state shouldn't be
- 5 assigned some degree of risk, assuming risk, by
- 6 proceeding in this area on the reliance of one
- 7 -- one member of the Court's opinion that is
- 8 rather, I think fair to say, idiosyncratic?
- 9 MS. MURRILL: Well, for one thing, I
- 10 think that incorporation doctrine evolved over
- 11 time. So I'm not sure that the state was -- it
- 12 was -- it was reasonable to expect the state to
- ignore an actual holding in a case and
- 14 anticipate that that would change over time.
- So that's -- that's one response that
- 16 I have to that question.
- 17 My second response is that I think you
- 18 can take it up afresh. But -- but I also --
- 19 this is a non-textual --
- 20 JUSTICE GORSUCH: I appreciate -- I
- 21 appreciate that. That's helpful.
- MS. MURRILL: Yeah.
- JUSTICE KAGAN: Well, just on that,
- 24 General Murrill, so, I mean, you don't really
- 25 want us to take that up afresh, do you? I mean,

- 1 aren't you -- I'm sort of confused because there
- 2 is the sentence in your brief that says neither
- 3 party is asking the Court to accord Justice
- 4 Powell's solo opinion in Apodaca precedential
- 5 force.
- Is that right, that you're not asking
- 7 us to accord Justice Powell's solo opinion
- 8 precedential force? Because if that's right,
- 9 then -- I mean, are you basically just saying to
- 10 me: Forget Justice Powell's opinion in Apodaca;
- just decide what the Sixth Amendment requires?
- 12 MS. MURRILL: Justice Kagan, I -- I
- think that given the evolution of incorporation
- 14 theory, we find ourselves in a position where it
- is even more important to get the text right and
- 16 to get the history right.
- So if -- if -- if that means taking
- 18 that issue up afresh --
- 19 JUSTICE KAGAN: But, you see --
- 20 MS. MURRILL: -- then we should do
- 21 that.
- 22 JUSTICE KAGAN: -- I think I agree
- 23 with Justice Alito. You have some strong
- 24 reliance interests here, but -- but your
- 25 reliance interests are only relevant in the

- 1 context of an argument from stare decisis.
- 2 And I guess I would like to know then
- 3 how are your reliance interests relevant? What
- 4 argument from stare decisis are you making?
- 5 MS. MURRILL: Well, we think that the
- 6 text and the history do not include a
- 7 non-unanimous jury verdict. We think that
- 8 that's a constitutional -- that is a choice that
- 9 states can make.
- JUSTICE KAVANAUGH: That's not --
- MS. MURRILL: And so, you know, that's
- 12 -- we think that the -- the four Justices, plus
- 13 Justice Powell's decision, were a ruling that
- 14 said that it was not unconstitutional to have
- 15 non-unanimous jury verdicts and it was
- 16 reasonable for us to rely on that.
- So we don't -- we don't entirely
- 18 disavow stare decisis. I mean, we still believe
- 19 we have enormous reliance interests.
- JUSTICE KAVANAUGH: You were relying
- on Justice Powell's opinion in Apodaca. That's
- 22 the only --
- MS. MURRILL: We're also relying --
- 24 JUSTICE KAVANAUGH: For stare decisis
- 25 that must be what you're relying on, combined

- 1 with the other four that said the states don't
- 2 have to provide unanimous juries.
- 3 MS. MURRILL: Well, I think, Justice
- 4 Kavanaugh, that we're also relying on this
- 5 Court's opinions in -- in Williams and in
- 6 Hurtado that said that the Court -- that has
- 7 never adopted the common law wholesale.
- I mean, that's --
- 9 JUSTICE GINSBURG: But you -- you --
- 10 MS. MURRILL: -- that is I think
- 11 critical to the analysis.
- 12 JUSTICE GINSBURG: Just to be clear,
- you are not urging the Apodaca. You want us to
- 14 go back and say what the Sixth Amendment
- 15 requires, the -- the issue on which the Court
- 16 was divided, you want us to say unanimity is not
- 17 required in federal trials and it's not required
- in state trials, and on that issue, what is your
- 19 view of the Seventh Amendment? Does the Seventh
- 20 Amendment require unanimity in civil trials?
- MS. MURRILL: Justice Ginsburg, I
- 22 think the Seventh Amendment is a different
- 23 question. Its text is different. Its structure
- 24 --
- 25 JUSTICE GINSBURG: But just -- just

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1 the --
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- 2 MS. MURRILL: -- is different.
- JUSTICE GINSBURG: -- the answer to my
- 4 question: Is unanimity required under the
- 5 Seventh Amendment in civil trials in federal
- 6 court?
- 7 MS. MURRILL: I don't believe that it
- 8 would be required in the Seventh Amendment but I
- 9 don't think you need to determine that here
- 10 today.
- 11 JUSTICE GORSUCH: Well --
- MS. MURRILL: That's not the issue.
- JUSTICE GORSUCH: Well, this Court has
- 14 held --
- MS. MURRILL: The issue is the Sixth
- 16 Amendment.
- 17 JUSTICE GORSUCH: -- that it --
- there's a -- there's a holding of the Supreme
- 19 Court that's over 100 years old so holding. And
- so no reliance interests for anybody there?
- MS. MURRILL: Justice Gorsuch, my
- 22 answer is specifically related to the text and
- 23 what the text would require. I'm not disputing
- that there might be precedent that would apply
- 25 --

- 1 JUSTICE GORSUCH: Oh, okay.
- 2 MS. MURRILL: -- in the Seventh
- 3 Amendment.
- 4 JUSTICE GORSUCH: All right.
- 5 MS. MURRILL: I just --
- 6 JUSTICE GORSUCH: All right. So we
- 7 don't count precedent in the Seventh Amendment
- 8 but we do in this area on Justice Powell's
- 9 opinion.
- 10 Let's say the Seventh Amendment does
- 11 require a jury trial. In what universe does it
- make sense to imagine that the framers of the
- 13 Constitution would have insisted on a jury trial
- 14 for civil cases where property is at stake but
- not in criminal cases where liberty is at stake,
- 16 and lives?
- 17 MS. MURRILL: I -- I believe that the
- 18 structure and the history of both reach --
- 19 ultimately on the textual answer reach the same
- 20 result.
- JUSTICE GORSUCH: All right.
- MS. MURRILL: I -- I don't think
- 23 that they would.
- JUSTICE GORSUCH: You disagree with
- 25 the Supreme Court's analysis on the Seventh

- 1 Amendment. I understand that.
- 2 But spot for me a moment that the
- 3 Supreme Court might have gotten the Seventh
- 4 Amendment right. Okay? It may be possible.
- 5 All right? In -- in what universe would the
- 6 rule be different for criminal cases?
- 7 MS. MURRILL: I -- I -- I don't think
- 8 necessarily the rule would be different. I
- 9 think that the -- that we have to look at what
- 10 the text and the history demand, and that when
- 11 we are talking about a non-textual right, I
- 12 think that it is very, very important that the
- 13 Court get the history right.
- And the history tells us that this --
- that unanimity was rejected for a reason, that
- 16 there were -- there was a very specific decision
- 17 that was made to reject unanimity. It was
- 18 proposed, it was rejected, it was debated, it
- 19 was discussed, it was a known issue, because
- 20 four states had --
- 21 CHIEF JUSTICE ROBERTS: How far --
- MS. MURRILL: -- actually adopted
- 23 non-unanimity.
- 24 CHIEF JUSTICE ROBERTS: How far are
- you willing to depart from unanimity? Would a

- 7-5 requirement be okay under your theory?

  MS. MURRILL: Mr. Chief Justice, I
- 3 think this Court has established some of the
- 4 outer boundaries already in Williams and in
- 5 Burch and in Will -- and in Apodaca. So nine,
- 6 under Apodaca, 9-3 is okay.
- 7 I would -- I would also remind the
- 8 Court that Louisiana in reliance on this Court's
- 9 decision in Apodaca and in Johnson went and at a
- 10 constitutional convention the year after this --
- 11 that case was decided, discussed it, expressly
- 12 relied on it, and increased voting rules to
- 13 10-2.
- JUSTICE SOTOMAYOR: Can we go back to
- reliance a moment? Putting aside that in Janus
- 16 a couple of decisions from the Supreme Court put
- the unions on notice that things should -- that
- 18 the constitutional theory was on shaky ground,
- 19 and here you have a series of cases, much older,
- 20 telling you that the incorporation theory was on
- 21 shaky ground.
- But you're talking about a parade of
- 23 horribles if we rule against you. How about the
- 24 parade of horribles if we rule in your favor?
- 25 How do we decide what's at the essence of the

1 common law jury trial? 2 Would issues like having a fair 3 cross-section of the community and the veneer be in question? We have a case that says that's 4 5 incorporated. Or what about what we said in 6 Sullivan, that the Sixth Amendment jury right 7 requires a jury verdict of guilty beyond a 8 reasonable doubt? 9 None of those terms are in the 10 Constitution. None of those terms, as far as I know, were part of the discussions at the 11 convention. Are they going to be open to attack 12 13 now, too, if we rule in your favor? There's no 14 history, there's no anything, except our sense 15 of what the essence of the common law right was. MS. MURRILL: And --16 17 JUSTICE SOTOMAYOR: Not our sense, but 18 the history of what happened and why. 19 MS. MURRILL: So I think, Justice 20 Sotomayor, that we have the text and what made 21 the cut after the debates over what was missing. 2.2 JUSTICE SOTOMAYOR: But the debates --2.3 MS. MURRILL: So --24 JUSTICE SOTOMAYOR: -- we have a bunch 25 of people who were in favor of the cuts telling

- 1 everybody else everybody understands that a
- 2 unanimous verdict is the standard.
- 3 So we have part of the constitutional
- 4 debate. Hamilton himself, who drafted it and
- 5 took out the right to a unanimous jury,
- 6 basically said during the -- the discussion it's
- 7 so self-evident, we don't need to include it.
- 8 So you're looking at --
- 9 MS. MURRILL: But --
- 10 JUSTICE SOTOMAYOR: -- history just in
- 11 terms of what was taken out, but without the
- 12 context of the discussion.
- MS. MURRILL: Not exclusively, Justice
- 14 Sotomayor. I think we also would agree that due
- 15 process and -- and equal protection play a role.
- 16 I mean, we -- we don't have requirements anymore
- 17 that it's only 12 white male freeholders.
- JUSTICE SOTOMAYOR: Exactly.
- MS. MURRILL: So, you know, I think
- that's an example of how we did not adopt the
- 21 common law in all of its -- its
- 22 historical terms. We actually -- Congress
- 23 adopted some of that language over time. It was
- 24 not embedded in the Constitution.
- 25 So we -- we know that there was an

- 1 historical debate. We know that states had
- 2 adopted a different rule, and -- and then some
- 3 of them wrote this rule into their own state
- 4 constitution. So known debated problem.
- 5 There's a -- there -- Madison proposes
- 6 an amendment, thinks he solved this problem, and
- 7 then it gets rejected by the Senate. So --
- 8 JUSTICE GINSBURG: But it -- but why
- 9 was it rejected? I mean, one -- one account is
- it was totally unnecessary. Everybody
- 11 understood a jury trial meant unanimous
- 12 agreement.
- So he took it out because we didn't
- 14 want to clutter up the Constitution with
- unnecessary statements. The words "jury trial"
- 16 themselves mean unanimous verdict.
- MS. MURRILL: Well, Justice Ginsburg,
- we did clutter it up with an impartial -- with
- 19 the word "impartial." And we did clutter it up
- 20 with a number of other terms.
- 21 And -- and I don't -- and I think that
- 22 the history showing that states felt that it was
- 23 important to write it into some of their
- 24 constitutions indicates that there certainly was
- 25 at least a view that -- that it should be

- 1 written in by some and not -- so I don't think
- 2 it's a fair reading to -- to assume that that
- 3 was simply because we would all know that it
- 4 would be there, especially because they knew
- 5 that they were writing a document for the
- 6 future.
- 7 JUSTICE KAVANAUGH: For the sake of
- 8 argument, assume that I think the Sixth
- 9 Amendment requires a unanimous jury. Just for
- 10 the sake of argument. What are your best
- 11 arguments, then, for why the right is not
- incorporated, and relatedly your best arguments
- for not overruling Apodaca, which is read, the
- 14 -- the opposing counsel says, to have allowed
- 15 the states to do that?
- MS. MURRILL: Justice Kavanaugh, they
- 17 are concededly not very good. I mean, I -- I
- 18 think that based on Timbs, that we recognize
- 19 that this Court, at least at this point in time,
- 20 has taken a view of incorporation that says that
- 21 there's no daylight. So if you find that
- 22 unanimity is required, I find myself in a far
- 23 more difficult position --
- JUSTICE KAGAN: Well, yes --
- JUSTICE KAVANAUGH: What about --

- 1 JUSTICE KAGAN: -- and no --
- 2 MS. MURRILL: -- to make that
- 3 argument.
- 4 JUSTICE KAGAN: Yes and no, General
- 5 Murrill, because you have this stare decisis,
- 6 except you're giving it away. And I don't know
- 7 what to make of that --
- 8 MS. MURRILL: I --
- 9 JUSTICE KAGAN: -- because I would
- 10 think what you would do is to say something
- 11 like: This is an outlier in our incorporation
- 12 doctrine. There's no question that it is. But
- it has been an on outlier for 50 years. It has
- 14 been completely administrable. It has been
- 15 completely clear. States have had every right
- to rely on this for 50 years. It doesn't matter
- 17 whether it was wrong because overruling
- something requires more than just the decision
- 19 be wrong. It has been there. States have
- 20 relied on it. There's no reason to change it.
- 21 The end. Stare decisis.
- But you're telling me that Justice
- 23 Powell's opinion isn't entitled to precedential
- force, isn't entitled to stare decisis effect.
- 25 So I don't know what to do with that argument

- 1 anymore.
- MS. MURRILL: Justice Kagan, I agree
- 3 with everything that you said about the reasons
- 4 why this Court should affirm Apodaca and that it
- 5 should be given stare decisis effect.
- 6 I -- I think that we are struggling
- 7 with the fact that Justice Powell's decision
- 8 doesn't seem to be the view of the Court and --
- 9 and that it -- the text and the history also, I
- 10 -- we strongly and firmly believe, are on our
- 11 side.
- 12 JUSTICE ALITO: Well, you're not the
- only state who has an interest here. And, in
- fact, there's only one state going forward as of
- this moment that has an interest in this, and
- 16 that's Oregon. And Oregon might change its rule
- or it might not change its rule.
- But Oregon filed a brief and Oregon
- 19 doesn't make the arguments you're making.
- 20 Oregon says it should be made clear what this
- 21 brief does not do. It does not address the
- 22 merits of whether Apodaca was correctly decided.
- MS. MURRILL: I -- and I think that
- Oregon finds itself in a position where the
- democratic process has stalled in anticipation

- of this decision. So they've -- they've written
- 2 a brief that expressly, I think, emphasizes all
- 3 of our reliance interests. Puerto Rico has
- 4 similar reliance interests. There's a long line
- 5 of cases that dealt with territorials and the
- 6 right -- and the Constitution's application to
- 7 territories. They have similar interests too.
- 8 So we -- we do think that the reliance
- 9 interests are very, very important.
- JUSTICE ALITO: I mean, it's true --
- MS. MURRILL: We believe that the
- 12 judgment was correct.
- JUSTICE ALITO: It is certainly true
- 14 that we, in recent years, have rejected the
- 15 two-track idea about incorporation, but the
- opposite isn't a crazy argument. As recently as
- 17 McDonald, there were some voices on this Court
- 18 that it was -- were essentially making that
- 19 argument with respect to the Second Amendment.
- 20 And earlier, there were -- it's a very
- 21 respectable argument. It hasn't won the light
- 22 -- it -- it hasn't won the day completely, but
- that's what Apodaca rests on.
- MS. MURRILL: Well, Justice Alito, if
- you're telling me that there is a little bit of

- daylight, then I'll take it. I mean, I -- I
- 2 think that, you know, we -- but I also believe
- 3 the history -- that -- that the history shows
- 4 that unanimity was rejected and that that is the
- 5 correct view.
- 6 JUSTICE BREYER: Is --
- 7 MS. MURRILL: So I -- we are not
- 8 entirely repudiating the -- the Apodaca
- 9 judgment. And we do have 50 years of reliance,
- which is why I emphasize that we have 32,000
- 11 people who are incarcerated right now at hard
- labor for serious crimes, and every one of them
- 13 would be subject -- would be able to file an
- 14 appeal.
- JUSTICE BREYER: Do you think 32,000
- 16 people were non-unanimous?
- MS. MURRILL: No, no, no, Justice
- 18 Breyer.
- 19 JUSTICE BREYER: Or how -- I mean, I
- 20 can't -- I don't understand why it would apply
- 21 to people who were unanimously convicted, maybe,
- 22 but -- but I think the stronger case would be
- 23 those people convicted by juries that were not
- unanimous. And how many of those are there?
- MS. MURRILL: We don't know, because

```
1
      they --
 2
                JUSTICE BREYER: I mean --
 3
               MS. MURRILL: -- there wasn't --
 4
                JUSTICE BREYER: -- have you any idea?
 5
      Is there -- with all the work gone into this,
 6
     has anybody got any rough idea of what
7
     percentage of those people who are convicted are
8
      convicted by non-unanimous juries?
 9
               MS. MURRILL: There's just no reliable
10
      data.
11
                JUSTICE BREYER: Well, if there's --
12
               MS. MURRILL: But I can --
13
                JUSTICE BREYER: -- no reliable data,
     we'd think -- can I fairly think if there had
14
15
     been some data, even if you just take a sample,
     you would be telling us? And, therefore, the
16
17
     fact that you're telling us that there are a lot
18
      of people in jail, which I did know --
19
               MS. MURRILL: Well --
20
                (Laughter.)
21
                JUSTICE BREYER: -- that that suggests
2.2
      something.
2.3
               MS. MURRILL: Well --
24
                JUSTICE BREYER: Now, then you say
25
     there's you, there's Oregon, that they're
```

- 1 waiting. All right. But Puerto Rico is a tough
- 2 case, actually. There's a Hispanic tradition,
- 3 and I don't know, you might have to bring up the
- 4 Insular Cases. You might -- you might have to
- 5 revise them. You might have -- get into the
- 6 status question. Puerto Rico is worrying me.
- 7 So is there -- is there something you
- 8 want to say about that since you raised it?
- 9 MS. MURRILL: Well, we have the same
- 10 tradition, but I -- but the -- the --
- JUSTICE BREYER: You have the same
- 12 tradition, but you don't have as a matter of
- fact the whole system of trials that grows out
- of the civil tradition. Or is it --
- MS. MURRILL: Well, that's why I think
- 16 all 32,000 --
- 17 JUSTICE BREYER: Well, all right, skip
- 18 that. That wasn't --
- 19 MS. MURRILL: -- are at risk because
- 20 we do have a system built around --
- 21 JUSTICE BREYER: I got past the
- 22 32,000. I now want to know, since you've looked
- 23 into Puerto Rico, is there a particular problem
- there if we overturn Apodaca?
- MS. MURRILL: I believe --

1 JUSTICE BREYER: If we --2 MS. MURRILL: -- there is. 3 JUSTICE BREYER: I know you believe 4 there is. I just want to know what there is, 5 rather than my making it up. 6 MS. MURRILL: Because the territorial decisions were based on the authority of 7 8 Congress to write laws that were different for 9 territories notwithstanding the fact that they 10 still came under the protection of the Constitution, I think that there's a problem. 11 12 So it's the same -- I mean, the issue here is, does the -- the Sixth Amendment require 13 unanimity? And unless you're going to continue 14 15 a special carveout for the territories, then 16 they have the same question. 17 CHIEF JUSTICE ROBERTS: Is the -- the 18 32,000 -- is the reason you don't know because the jury is not typically polled or -- or what? 19 20 MS. MURRILL: Because it is not always 21 polled and because the defense -- that is a 2.2 responsibility of the defense to do that. And 23 even in some cases where it may have been, it 24 may not have been recorded or kept. And so the 25 data -- the -- the case files are -- seem to be

- 1 very inconsistent on this.
- 2 We do know that we are already
- 3 receiving a flood of these cases, as is this
- 4 Court. We know that -- you know, we filed 25
- 5 briefs in the Louisiana Supreme Court last
- 6 Friday. So we have a -- this case -- this is
- 7 certainly unsettling the cases, but because a
- 8 number of those people pleaded guilty based on
- 9 their expectation of potential -- of facing a
- 10 10-2 verdict, the criminal defense attorneys
- filed an amicus brief arguing that point.
- We also have people who would
- 13 receive -- everyone that went to trial received
- 14 this jury instruction. So we're not saying they
- 15 all win. We are saying --
- 16 JUSTICE BREYER: All right. Maybe
- 17 T --
- 18 MS. MURRILL: -- that every one of
- 19 them could file. And it's like throwing --
- 20 JUSTICE BREYER: I -- I've got the --
- 21 the reliance point.
- MS. MURRILL: Okay.
- JUSTICE BREYER: The -- if I believe,
- one, contrary to what you say, assume it, I
- 25 believe that, in fact, the federal right in the

- 1 constitution does include unanimity in the Sixth
- 2 Amendment.
- 3 Then, two, I think that thereafter it
- 4 was fairly clear in the law that same -- the
- 5 federal rules apply to states, if we
- 6 incorporate. But you do have a point if you say
- 7 there are anomalies in the law. And perhaps we
- 8 should leave the anomaly alone. And that's
- 9 where you bring in your reason, the reason being
- that 32,000 people, et cetera, et cetera. Okay.
- 11 I've got that structure.
- 12 Is there any other instance you can
- think of where, despite a contradiction, which
- 14 you're allowing under my assumptions to remain,
- 15 a legal contradiction, the Court says: Okay,
- 16 because let sleeping dogs lie; otherwise we get
- 17 serious harm?
- 18 JUSTICE SOTOMAYOR: Just a footnote.
- 19 That's not taken care of by Teaque and the other
- 20 doctrines your adversary talked about.
- 21 MS. MURRILL: Your Honor, I think that
- one of the -- the significant lines of
- jurisprudence that comes to my mind is Rowe. I
- 24 mean, I -- I, you know, hesitate to bring that
- 25 into this, but I -- I do think that's an area

- 1 and I think that any time you have a non-textual
- 2 right that -- that the Court has relied on,
- discussed, related to in passing, I mean, or --
- 4 or quoted in passing over time and changed the
- 5 incorporation doctrine, that it is that much
- 6 more important to get the text and the history
- 7 right.
- 8 So we think that Apodaca was -- that
- 9 the judgment in Apodaca was correct. We do have
- 10 enormous reliance interests --
- 11 JUSTICE GORSUCH: Counsel, on --
- 12 MS. MURRILL: -- involved.
- 13 JUSTICE GORSUCH: -- your reliance
- interests, you say we should worry about the
- 32,000 people imprisoned. One might wonder
- whether we should worry about their interests
- 17 under the Sixth Amendment as well.
- 18 And then I -- I can't help but wonder,
- 19 well, should we forever ensconce an incorrect
- 20 view of the United States Constitution for
- 21 perpetuity, for all states and all people,
- 22 denying them a right that we believe was
- originally given to them because of 32,000
- 24 criminal convictions in Louisiana?
- 25 MS. MURRILL: No, Justice Gorsuch.

- 1 But we don't believe that it was a right that
- 2 was given to them in the Sixth Amendment.
- JUSTICE GORSUCH: I understand that.
- 4 I'm talking about a reliance argument. Doesn't
- 5 that greatly diminish a single state's claim of
- 6 reliance with respect to a subset of criminal
- 7 convictions, when we're talking about a
- 8 constitution that's supposed to endure?
- 9 MS. MURRILL: No one, and least of all
- 10 me, is going to stand here and diminish anyone's
- 11 liberty interests. I -- I think that -- so I'm
- 12 not -- I -- I wouldn't take that position.
- But even in a long line of this
- 14 Court's significant decisions related to
- criminal law and criminal procedure, the Court
- has applied them in a forward fashion instead of
- 17 retroactively. So, I mean, that's a concern for
- 18 us.
- 19 JUSTICE KAVANAUGH: Well, if the jury
- 20 --
- JUSTICE GINSBURG: But that's --
- 22 that's not -- the case of retroactivity to
- 23 convictions that are already final is not before
- 24 us. It would come before us in a case if you
- lose this one, but it -- that -- that is not a

- 1 question that we can properly address here. It
- 2 hasn't been briefed. It hasn't been decided
- 3 below.
- 4 MS. MURRILL: Justice Ginsburg, we
- 5 certainly do appreciate you not addressing that
- 6 issue without our opportunity to brief it. I
- 7 would point out that our law that we just passed
- 8 makes the law -- it -- it does draw a line and
- 9 it says that it will apply to all crime, that
- 10 unanimity will apply to crimes that were
- 11 committed after January 1st, 2019.
- So to some extent we are talking about
- at -- at least some retroactivity, because we've
- 14 already made a decision to address it going
- 15 forward.
- JUSTICE KAVANAUGH: Can I pick up on
- 17 Justice Gorsuch's question a second?
- 18 So assume that the Sixth Amendment
- 19 requires unanimity. I know you disagree. And
- 20 assume that our law ordinarily requires
- 21 incorporation against the states of rights that
- 22 apply against the federal government. So assume
- ordinarily it would be incorporated.
- Then we get to the Apodaca question.
- 25 It seems to me there are two practical arguments

- 1 for overruling Apodaca if you accept that's
- 2 holding. One is, as Justice Gorsuch says, there
- 3 are defendants who have been convicted and
- 4 sentenced to life, 10-2 or 11-1, who otherwise
- 5 would have not been convicted. So that seems
- 6 like a serious issue for us to think about in
- 7 terms of overruling.
- 8 And the second is that the rule in
- 9 question here is rooted in a -- in racism, you
- 10 know, rooted in a desire, apparently, to
- diminish the voices of black jurors in the late
- 12 1890s. So do either of those two -- and that
- doesn't go to the Sixth Amendment. That goes to
- 14 the stare decisis angle.
- Do either of those two things -- or I
- quess I should say why aren't those two things
- 17 enough to overrule, if you accept the legal
- 18 premises, which I know you don't, but if you
- 19 accept those, why aren't those two things
- 20 enough? Again, unfairness to defendants and
- 21 rooted in racism.
- MS. MURRILL: So as -- as to the first
- 23 question with regard to unfairness to
- 24 defendants, I just do not see how you can
- 25 separate this from the six-man jury that -- that

- 1 was approved of in Williams, which is a six-man
- 2 jury for all crimes less than capital, and six,
- 3 granted, unanimous rule but still only six, and
- 4 Louisiana's rule will -- still requires ten.
- 5 So I -- I don't think it's
- 6 fundamentally unfair, nor do I think that this
- 7 Court in any precedent has ever held that it is.
- 8 JUSTICE GINSBURG: But Williams held
- 9 that the number, the number of jurors was not at
- 10 the heart of the jury trial right. The Court
- 11 said it was a historical accident. It resembled
- 12 certain biblical references like 12 apostles, 12
- 13 tribes of Israel. There was nothing inevitable
- 14 about the number 12. But there was about the
- 15 requirement that, whatever the number is, they
- 16 all agree.
- 17 MS. MURRILL: Mr. Chief Justice?
- 18 CHIEF JUSTICE ROBERTS: You may
- 19 respond.
- 20 MS. MURRILL: Justice Ginsburg, I -- I
- 21 think that it was not an historical accident. I
- 22 would disagree with that -- that description.
- I think that these two things were
- 24 married together in every description, the
- 25 number 12 and unanimous in every description,

1 have always --2 JUSTICE GINSBURG: But, it's hard --3 MS. MURRILL: -- been married 4 together. 5 JUSTICE GINSBURG: It's hard to say 6 you disagree when Williams described the number 12 as a historical accident. Did you just say 7 8 Williams was wrong in that respect? 9 MS. MURRILL: I think that 10 characterization of it was dismissive. all. Thank you. 11 12 CHIEF JUSTICE ROBERTS: Thank you, counsel. 13 14 Five minutes, Mr. Fisher. 15 REBUTTAL ARGUMENT OF JEFFREY L. FISHER ON BEHALF OF THE PETITIONER 16 MR. FISHER: Thank you. I'd like to 17 18 make a couple of quick factual points and then 19 talk about stare decisis and reliance. 20 Justice Breyer, you asked a couple of 21 questions about numbers and facts. So we say in 2.2 our reply brief, using one of the state's own

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filings, that there are 36 cases on direct

has been presented.

review right now in Louisiana where this issue

23

24

| 1  | And then even within those 36 is                 |
|----|--|
| 2  | even within that 36 you're going to have         |
| 3  | arguments about whether it was adequately        |
| 4  | preserved and all the rest. And so we think, at  |
| 5  | least in the direct review level, the numbers    |
| 6  | are actually quite modest and low.               |
| 7  | And as the Court has described                   |
| 8  | throughout the the last half of the argument,    |
| 9  | the retroactivity questions can be left for      |
| 10 | another day and covered by their own reliance    |
| 11 | doctrines.                                       |
| 12 | You also asked about Puerto Rico.                |
| 13 | In Footnote 10 of our brief, we note             |
| 14 | that the Court held in Balzac that the right to  |
| 15 | jury trial does not apply the same way in Puerto |
| 16 | Rico as to the states. And so that would be a    |
| 17 | question about the Insular Cases. You're going   |
| 18 | to be talking about that next week, perhaps.     |
| 19 | But it's something that this case                |
| 20 | doesn't doesn't necessarily address.             |
| 21 | So as to stare decisis and reliance,             |
| 22 | let me make a couple points about the state's    |
| 23 | framing of its arguments and then talk about, I  |
| 24 | think, Justice Kagan, your sort of alternative   |
| 25 | framing of the arguments.                        |

1 As to the state's framing of the 2 arguments, I think it's helpful to remember why 3 we have the stare decisis in the first place. 4 It's about settled expectations in the law. And what we're asking you today to do 5 are to reaffirm two things the Court has said 6 7 many, many times over the years. One is the 8 Sixth Amendment requires unanimous verdict. 9 And, second, when an incorporated provision 10 applies to the states, it applies the same way as it does to the federal government. 11 12 So to write that opinion all you have 13 to do is reaffirm what you said many, many times 14 under the law. 15 It is the state's position that it would create upheaval as to the law. 16 It would 17 raise questions like the one the Chief Justice 18 asked about whether seven to five is okay. 19 The state not only doesn't answer the 20 question in its brief, it provides no weight, no 21 weight to answer the question. And that would 2.2 just be one of many questions that would arise 23 if you agreed with the state's view. 24 So I think then you are left with the 25 alternative argument, that what about -- what

- 1 about putting a reliance interest into Apodaca
- 2 itself? I'm not sure, by the way, that Oregon
- 3 does that. I think it's also telling that
- 4 Oregon is not willing to defend. I know it
- 5 doesn't go the other way like the state does but
- 6 it certainly isn't willing to defend Justice
- 7 Powell's reasoning in Apodaca.
- But let's imagine that argument were
- 9 in front of the Court. I think there is three
- 10 reasons why you would still overrule Apodaca.
- 11 The first is the one that a couple of
- 12 you mentioned, which is that it's not just --
- it's not just the interests of the state that
- 14 have to be taken into account. It's the
- 15 interests of defendants.
- And before we take away somebody's
- 17 liberty over 600 years of common law tradition,
- and Sixth Amendment tradition, is we demand a
- 19 unanimous verdict, unanimous consent of a
- 20 cross-section of the community.
- 21 And that is important, as the social
- science brief in this case shows, for accuracy,
- 23 public confidence, and all the rest. And so
- 24 those reliance interests, which -- by the way,
- 25 the state itself is not renouncing unanimous

- 1 verdicts; it maintains the ability under its law
- 2 to try anyone going forward for a crime
- 3 committed before January 1st, 2019, and seek a
- 4 10-2 verdict. And so that could go on for
- 5 years, and that ought to be taken into account.
- 6 Secondly, I think incorporation is
- 7 just different. I think that's the lesson of
- 8 the sweep of this Court's cases, is reliance
- 9 interests are less important when it comes to
- incorporation because the Bill of Rights
- 11 themselves are so important. When the Court
- 12 says something is a fundamental rule under our
- way of doing criminal justice, the states have
- 14 to follow that rule the same way as the federal
- 15 government.
- And then the last thing I think that
- makes this case different than an ordinary stare
- decisis case is the vote in Apodaca. It's not
- 19 just that it was a 4-1-4 vote, but it's just
- 20 that -- it's that the other eight justices
- 21 rejected the decisive reasoning in that case.
- 22 And I think that makes this almost a universe of
- 23 one.
- 24 And if I could push it even further, I
- 25 would say that if you have any doubts, look at

1 Justice Powell's reasoning. Justice Powell's 2 reasoning in Apodaca itself was based on a 3 refusal to follow precedent. What he said was I'm agreeing with the past dissenters. I know 4 5 you have this rule from Malloy against Hogan 6 from five years ago that requires the same standards to apply in a federal court as they 7 8 apply -- in state court as they apply in federal 9 court, but I don't want to follow that rule. 10 He didn't even try to distinguish the 11 Court's old holding. So in a sense Apodaca 12 itself was born of a disregard for stare 13 decisis. And so if you feel strongly about 14 stare decisis as a value, this case is almost 15 singular in its -- in its -- in the compelling 16 reasons right now to -- to overrule Apodaca. 17 JUSTICE ALITO: Since you mentioned 18 Balzac, can I ask you a question about that? So 19 let's imagine this case is decided in your 20 favor, and then a -- a defendant who has been 21 convicted by a non-unanimous verdict in Puerto 2.2 Rico comes here and he says, look, I am a citizen of the United States, and the only

reason why I was able to be convicted by a

non-unanimous verdict is -- are these old

23

24

| Т  | insular cases that reflect attitudes of the day |
|----|---|
| 2  | in the in the end of the after the the          |
| 3  | aftermath of the Spanish American War, and just |
| 4  | as you brushed aside Apodaca, you should brush  |
| 5  | aside the Insular Cases.                        |
| 6  | MR. FISHER: I think I would I                   |
| 7  | would say that would be different for all the   |
| 8  | reasons I just outlined. The Insular Cases were |
| 9  | majority decisions from the Court. They were    |
| 10 | they were based on a view that has not been     |
| 11 | disregarded or left behind in the Court's       |
| 12 | jurisprudence.                                  |
| 13 | There may be arguments parties can              |
| 14 | make under ordinary stare decisis principles,   |
| 15 | but the last point I would leave you with is    |
| 16 | this is not an ordinary stare decisis case.     |
| 17 | CHIEF JUSTICE ROBERTS: Thank you,               |
| 18 | counsel.  |
| 19 | The case is submitted.                          |
| 20 | (Whereupon, at 2:01 p.m., the case was          |
| 21 | submitted.)                                     |
| 22 |   |
| 23 |   |
| 24 |   |
| 25 |   |

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